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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER DC5133 PCT1

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICA PCT/US2004/012		INTERNATIONAL FILING DA 19 April 2004	NTE .	PRIORITY DATE GLAMED 25/JUL/2003						
TITLE OF INVENTION										
A Mechanical Inversion Process for Making Silicone Oil-in-Water Emulsions  APPLICANT(S) FOR DO/EO/US										
Dow Corning Corporation, et. al.										
Applicant herewith subm	plicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. X This is a FIRST s	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. This is a SECONI	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. X The US has been	The US has been elected (Article 31).									
5. A copy of the In	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a. Disatta	a. is attached hereto (required only if not communicated by the International Bureau).									
b. 🔲 has b	b. has been communicated by the International Bureau.									
c. 🗵 is not	c. X is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. An English lang	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. Lis atta	a. is attached hereto.									
I —	b. has been previously submitted under 35 U.S.C. 154(d)(4).									
7. Amendments to	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. $\bigsqcup$ are a	a. are attached hereto (required only if not communicated by the International Bureau).									
b. L have	b. have been communicated by the International Bureau.									
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
d. 🔼 have	d. And have not been made and will not be made.									
8. An English lang	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. An oath or deck	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below (	Items 11 to 20 below concern document(s) or information included:									
11. An Information	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
I = -	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. 🛚 A preliminary ar	A preliminary amendment.									
14. An Application [	An Application Data Sheet under 37 CFR 1.76.									
15. A substitute spe	A substitute specification.									
16. A power of attor	A power of attorney and/or change of address letter.									
17. A computer-rea	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. A second copy	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19. A second copy	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
	Other items or information:									

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

## IAP9 Rec'd PCT/PTO 06 DEC 2005

PTC-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CER 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER			
PCT/U <b>12014</b> 01205 Y 5 C 2 19 April 2004					DC5133 PCT1			
The following fees have been submitted				CALCULATIONS	PTO USE ONLY			
21. 🔀 Basid	c national fee \$300				\$ 300.00			
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)  All other situations\$200					\$ 200.00			
Search fee (37 C	nal Searching Aut rch Report prepar	\$ 500.00						
	TOTAL OF 21, 2	\$ 1,000.00						
sequence tis	e for specification ting or computer 250 for each addit							
Total Sheets			ch additional 50 or fraction I up to a whole number)	RATE		·		
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Surcharge of \$13 claimed priority de	0.00 for furnishing ate (37 CFR 1.49)	\$ 0						
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		7 - 20 =	0	× \$ 50	\$ 0			
Independent clain	ns	1 -3=	0	x \$200	\$ 0			
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$ 0	<del></del>		
	,	,, ,, ,	TOTAL OF ABOVE	CALCULATIONS =	\$ 0			
Applicant cla	ms small entity st	tatus. See 37 CF	R 1.27. Fees above are reduc	ed by 1/2.				
			<del>- 1</del> -	SUBTOTAL =	\$ 1,000.00			
Processing fee of claimed priority da	\$130.00 for furni	\$ 0	•					
			TOTAL	NATIONAL FEE =	\$ 1,000.00			
Fee for recording by an appropriate		\$						
	· · · · · · · · · · · · · · · · · · ·		TOTAL F	EES ENCLOSED =	\$ 1,000.00			
		Amount to be refunded:	\$					
					Amount to be charged:	\$		
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. <u>04-1520</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1520 A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORF		10	2-5-05					
Alan Zombec	k	12	- 3 0 1					
Dow Corning Corporation  Alan Zombeck  SIGNATURE  Alan Zombeck					eck	1		
2200 W. Salzburg Road NAME								
Midland, MI 48686-0994 45,260								
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I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Box PCT, Director of the United States Patent and Trademark Office, Washington, D.C. 20231.

Christine M. Fitak

(Typed or printed name of person mailing application)

## THIS APPLICATION IS THE ENTRY INTO THE **NATIONAL PHASE UNDER 35 U.S.C. 371**

Applicant(s):

**DOW CORNING CORPORATION** 

International Application No.: PCT/US2004/012001

International Filing Date: 19 April 2004

Priority Date Claimed: 23 July 2003

Title: A MECHANICAL INVERSION PROCESS FOR MAKING SILICONE OIL-

IN-WATER EMULSIONS

Attorney's Docket No.: DC5133PCT1